



Sheet \_\_\_\_\_ of \_\_\_\_\_

Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number <b>MND SH-01000US0</b>		Serial/Patent Number <b>09/829,524</b>	
<b>Information Disclosure Statement BY APPLICANT</b> (Use several sheets if necessary)				Applicant/Patent Owner <b>Steven J. Smith</b>			
				Filing/Issue Date <b>April 9, 2001</b>		Group Art Unit <b>2152</b>	
<b>U.S. PATENTS</b>							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
TP	1	5,424,724	Jun. 13, 1995	Williams et al.	—	—	—
	2	5,487,100	Jan. 23, 1996	Kane	—	—	—
	3	5,504,897	Apr. 02, 1996	Gans et al.	—	—	—
	4	5,761,662	Jun. 02, 1998	Dasan	—	—	—
	5	5,793,497	Aug. 11, 1998	Funk	—	—	—
	6	5,793,972	Aug. 11, 1998	Shane	—	—	—
	7	5,835,762	Nov. 10, 1998	Gans et al.	—	—	—
	8	5,864,684	Jan. 26, 1999	Nielsen	—	—	—
	9	5,893,099	Apr. 06, 1999	Schreiber et al.	—	—	—
	10	5,937,162	Aug. 10, 1999	Funk et al.	—	—	—
	11	5,948,061	Sep. 07, 1999	Merriman et al.	—	—	—
	12	6,044,395	Mar. 28, 2000	Costales et al.	—	—	—
	13	6,216,127 B1	Apr. 10, 2001	Gans et al.	—	—	—
	14	6,289,372 B1	Sep. 11, 2001	Vyaznikov	—	—	—
TP	15	6,463,462 B1	Oct. 08, 2002	Smith et al.	—	—	—
<b>U.S. PATENT PUBLICATIONS</b>							
Examiner Initial		Patent Application Publication Number	Publication Date	Applicant			
TP	16	2003/0028580 A1	Feb. 06, 2002	Kucherauw			

*Thompson**8/26/04*

PENDING U.S. PATENT APPLICATIONS							
Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No		
	17	10/389,419	Mar. 14, 2003	Parents et al.			

  

FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No

  

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)		
TP	18	Article - "FloNetwork Inc., Prefer Network Team Up," <a href="http://www.emailuniverse.com/list-news/2000/10/18.html">www.emailuniverse.com/list-news/2000/10/18.html</a> , October 18, 2000, 1 page
	19	Press Release - "Responsys.com Introduces Responsys Interact 2.0 for Permission-Based Direction on the Internet," <a href="http://www.responsys.com/corporate/about/details_press.asp?id=10">www.responsys.com/corporate/about/details_press.asp?id=10</a> , dated Monday, October 25, 1999, 3 pages
	20	Press Release - "L-Soft Announces Release of LSMTPTM Version 1.1b," <a href="http://www.lsoft.com/news/lsmtp">www.lsoft.com/news/lsmtp</a> , dated March 1, 1999, 2 pages
	21	Internet Article - "Eight Great Lists Call Topica Home," <a href="http://www.topica.com/about/index.html?mode=eight">The Leader in Email Discussions &amp; Publishing Solutions, www.topica.com/about/index.html?mode=eight</a> , dated July 26, 1999, 3 pages
TP	22	Chapman, D. B., "Majordomo: How I Manage 17 Mailing Lists Without Answering "request" Mail," <u>1992 LISA VI</u> , October 19-23, 1992 - Long Beach, CA, pps. 135-143

  

Examiner <i>Thuphan</i>	Date Considered <i>8/20/04</i>
-------------------------	--------------------------------

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

---

\*1 = Copy not submitted because it was submitted in prior application SN        /       , filed       , 20      , relied on under 35 USC §120.

\*2 = Copy not submitted because it was submitted in prior application SN        /       , filed       , 20      , relied on under 35 USC §120.